

Figure 1. Folio 4 showing the first folio of the initial section.

mean ‘thirty letters’. However, the first two characters are difficult to interpret. The first character 𐰇 ¹ta₄ is a topic-marking particle (similar to Classical Chinese *zhě* 者), and grammatically cannot occur in an initial position. The following character 𐰇 ¹shw₃ means ‘time’ (a borrowing from Chinese *shí* 时), but in extant Tangut texts the character almost always occurs reduplicated as 𐰇𐰇 meaning ‘often’. Together, these two characters make no sense preceding the phrase ‘thirty letters’.

In my initial analysis of low-resolution images of this book, I read the second character as 𐰇 ²vi₁, ‘to cut’, which has an extended meaning of ‘rime’, and so I took these two characters to mean the ‘*ta* rime’; that is, a Tangut rime group named after the character *ta* 𐰇 (West, 2015). However, from the high-resolution image of folio 4, it is clear that the character in question is 𐰇 rather than 𐰇. Moreover, the two characters 𐰇𐰇 occur together three times in the second preface, once in the exact same phrase 𐰇𐰇𐰇𐰇𐰇 that occurs at the start of folio 4. It seems from this correspondence that the preface on folio 3 refers to the initial section on folios 4 and 5. Unfortunately, the

presence of these two characters in the preface does not greatly help in elucidating their meaning.

Following the heading are a list of 30 signs, presumably the ‘thirty letters’ referred to here and in the preface. However, these signs are not letters of any known alphabet, but are composed of Tangut components or groups of horizontal, vertical or diagonal strokes resembling tally marks. The 30 signs can be divided into nine series of between two and four signs, with each series showing an incremental increase in stroke complexity (see Table 1). These nine series correspond quite neatly to the nine initial classes in *Homophones* (𐰇𐰇 ²ghiq₂ ²lew₁ = Chinese *Tóngyīn* 同音). In *Dissected Rimes of the Five Sounds* (𐰇𐰇𐰇𐰇 ¹ngw₁ ²ghiq₂ ²vi₁ ¹bu₄ = Chinese *Wuyinqieyun* 五音切韵), these nine initial classes are subdivided into a total of 36 initials, and the 30 letter signs do broadly map to these 36 initials.⁴

These signs do not occur anywhere else in this book, so it is not clear how they were intended to be used. However, they may be derived from a version of *Synonyms* where these signs were used to indicate the phonetic classification of Tangut characters.

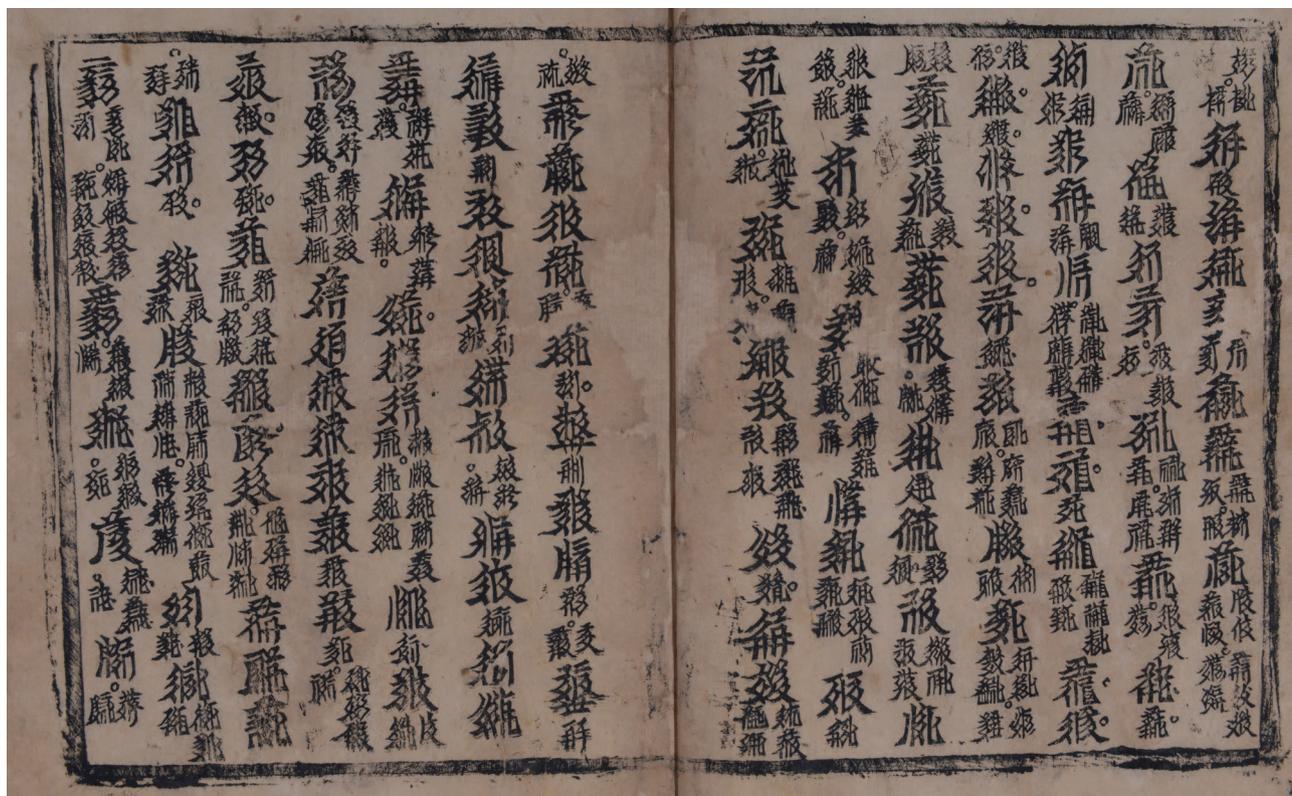


Figure 2. Folio 8 showing part of the main section.

The text comprises large-sized characters intermixed with small-sized characters written in two small columns (see Figure 2). There are a total of 913 large characters and about 1856 small characters. The small characters are divided into 577 groups of between 1 and 24 characters. Each group of small characters are homophones or near homophones of the preceding large character. Thus, 577 large characters are head characters for homophone groups, and 337 large characters are not associated with homophone groups. The reason why not all large characters head homophone groups is that the large characters are derived from texts that are unrelated to homophones, so they are not ordered phonetically and they are not all phonetically unique. Thus, there may be several large characters with the same pronunciation, and it seems that in most such cases only the first large character with the same pronunciation is followed by a group of small character homophones, and subsequent large characters with the same pronunciation are not followed by any small characters. For example, 𪛗²kha₄ on folio 8A is followed by a list of six small-sized homophone characters, whereas 𪛗²kha₄ on folio 14B is not followed by any small-sized characters. None of the 337 large characters with no associated small characters also occur as small characters under other large characters.

There is only a single small homophone character which occurs under two different large characters: 𪛗¹o₁ occurs in a group of four small characters under 𪛗¹a on folio 7A, and

then by itself under 𪛗¹a₁ on folio 7B. In addition, 𪛗 occurs twice as a small character, but with two different readings and with somewhat different glyph forms, suggesting that the author considered them to be two separate characters: 𪛗^{ny}₁ ‘fox’ under 𪛗²nwy₄ on folio 9A; and ‘tail’ under 𪛗¹ta₁ on folio 11B.

Large characters

If we ignore the small homophone characters, and just read the large characters sequentially, we discover that the large characters are not random, but are semantically related to each other. That is to say, in very many cases pairs of adjacent large characters, or sometimes longer sequences of large characters, form words or phrases. The large characters on folio 6 and on folios 7 through 14 have different origins, and so are discussed separately in the following sections.

Folio 6. Folio 6 only has nine columns, with space for the remaining five columns on folio 6B left blank, which is a strong indication that it is separate from the following eight folios. There are 52 large characters on folio 6, ending with the character 𪛗¹a₁ which is used to transcribe the Buddhist syllable *om* at the start of mantras. As the first six large characters of folio 7 are 𪛗¹ta₁ 𪛗¹tsir⁴ 𪛗¹sin¹ 𪛗¹e₄

¹dzwyq₄ ¹tshwew₁ ‘show respect to the Buddha, the Dharma, and the Sangha’, perhaps the *om* at the end of folio 6 should actually precede ‘Buddha, Dharma and Sangha’ at the start of folio 7. The penultimate two large characters on folio 6 are 祿禡 ¹cha₃ ¹jwa₃ ‘end of ceremony’, which is perhaps a form of wording that indicates the end of the text composed from the preceding 49 characters.

In fact, the large characters on folio 6 up to the words ‘end of ceremony’ derive directly from the *Synonyms* text discussed in the second preface. The first 10 large characters form a complete sentence: 袞颯垂散談談益懈懈 ²wyr₄ ²di₄ ²rar₄ ²si₄ ¹soq₁ ²ghaq₁ ¹gi₄ ¹nen₂ ²sew₁ ¹niq₁ ‘Examine and speak the thirty clear and turbid mothers of written characters’. The term 袞颯垂 ‘mothers of written characters’ is a longer synonym for 颯 ‘letters’, and the terms ‘clear’ and ‘turbid’ refer to ‘voiceless’ and ‘voiced’ letters, respectively. The phrase 袞颯垂散談談益 ‘thirty clear and turbid mothers of written characters’ mirrors the term 散談颯 ‘thirty letters’ given in the second preface and in the heading to the 30 letter signs on folio 4, and evidently refers to the division of *Synonyms* into 30 sections based on clear and turbid phonetic distinctions. I suggest that this sentence prefaced the main text of *Synonyms*, and may have been in the first column of the first folio, which is lost due to manuscript damage. The 39 following large characters correspond to the 30 section-header characters in *Synonyms* as well as the clear and turbid designations for the four parts of the *Synonyms*, as shown in Table 4.

Folios 7–14. The large characters on folios 7 through 14 are not copied from *Synonyms*, but form words and phrases that appear to be items in a vocabulary list. Most of the characters form two-character words, but there are some four-character phrases, and the longest phrase is the six-syllable mantra *om maṇi padme hūṃ* on folio 7B: 禡禡禡禡禡禡 ^a1 ¹ma₄ ²ni₄ ¹pa₄ ¹me₄ ho₁.

The end-title for this book is ‘*Essential Selection of Often-Transmitted Homonyms and Mixed Characters*’, and ‘mixed characters’ is the same as the title of the Tangut printed book *Mixed Characters* (颯禡 ²di₄ ¹dza₁ = Chinese *Zàzì* 雜字), which lists Tangut vocabulary in semantic categories without any glosses. It seems very likely that ‘mixed characters’ in the title of the current book refers to the large characters in most of the main section being derived from a Tangut vocabulary book entitled *Mixed*

Characters. However, this *Mixed Characters* cannot be the same as the extant book with the same title, as only a few words constructed from the large characters in the main section also occur in the extant *Mixed Characters*, and those words are not in the same order in the two texts (e.g. the only word on folio 8 that also occurs in the printed edition of *Mixed Characters* is 禡禡 ‘young and strong’). Moreover, this text has a relatively high proportion of words and phrases related to Buddhism, whereas the extant *Mixed Characters* text has almost no Buddhist vocabulary. Thus, we can conjecture that the large characters on folios 7–14 were derived from an otherwise lost Tangut vocabulary list entitled *Mixed Characters*. This may have been a constituent part of the book entitled *Sea of Characters* that is mentioned several times in the first preface.

All the large characters on folios 7–14 only occur a single time, and only a single large character also occurs on folio 6 (禡 occurs both on folio 6B and on folio 7B). Moreover, none of the large characters also occur as small-character homophones under other large characters. This is quite unexpected, as there are many individual characters that occur in multiple words composed from two or more characters, and we would expect any vocabulary list to include some words that are composed from at least one character that occurs in another word in the list. This can be seen in the extant *Mixed Characters* where 318 of the 1734 identifiable Tangut characters occur in more than one word (e.g. the character 禡 ‘tree’ occurs in 16 words, 颯 ‘tree’ occurs in 12 words and 禡 ‘man’ occurs in 10 words). That is to say, more than one-quarter of the 1186 words in the extant *Mixed Characters* are not composed of unique characters. On this basis, the absence of any duplicate characters on folios 7 through 14 cannot be a coincidence, and we have to conclude that duplicate large characters were deliberately removed, as well as any large characters that had already been listed as small-character homophones under a previous large character.

The 107 large characters on folio 8 (plus one large character at the start of folio 9) can be analysed as follows, where sequences of two or more characters are joined to form a word or phrase. Those words marked with an asterisk are not attested in any of the sources I have checked, but they are plausible words based on the meanings of the individual characters.

禡禡 ²seq₄ ‘to write’
 禡禡 ¹ku₁ ‘so, then’
 禡禡 ²bi₂ ²lhew₁ ‘to release’
 禡禡 ²lhuq₄ ¹ror₄ ‘to capture’ + ‘to get’ = * ‘to capture’
 禡禡禡禡 ¹me₁ ¹nu₄ ²ni₄ ⁴lhwa₄ ‘eyes, ear, nose, tongue’
 禡禡 ²luq₃ ‘body’
 禡禡 ¹phi₄ ‘scheme, idea’
 禡禡 ²ne₁ ²zher₂ ‘to live in peace’

禡禡 ¹lo₃ ¹lhu₁ ‘good fortune’ + ‘to increase’ = * ‘to increase good fortune’
 禡禡 ¹du₁ ²dzyq₄ ‘to save up’
 禡禡 ²hwin₁ ²bi₁ ‘demon’
 禡禡 ²hoq₁ ¹khwa₁ ‘calamity’ + ‘to curse’ = * ‘to curse with calamity’
 禡禡 ¹peq₄ ²cha₂ ‘to pray to avert misfortune’ + ‘suffering’ = * ‘to pray to avert suffering’

Table 4. Correspondence between *Synonyms* and folio 6 large characters.

Synonyms headings	Folio 6	Reading	Meaning
1. 禿談黃瓊翹羽磻			Part 1: completely clear, seven sections
1.1 (0112.01) : □	禿	¹ chen ₃	correct
1.2 (0127.01) : □	瓊	² chha ₃	virtue
1.3 (0311.01) : □	翹	² seq ₄	wisdom
1.4 (0317.01) : 養	養	¹ zhyr ₃	wisdom
1.5 (0516.01) : 徹	徹	² sew ¹ ₁	to survey
1.6 (0614.01) : 紉	紉	² se ⁴ ₄	thought
1.7 (0817.01) : 毓	毓	² tse ₄	to know
	禿談	² zi ₄ ¹ gi ₄	completely clear
2. 禿談黃瓊翹樞磻			Part 2: partially clear, seven sections
2.1 (0825.01) : 談	談	¹ gi ₄	clear
2.2 (1016.01) : 樞	樞	¹ rer ₄	skilful
2.3 (1022.01) : 翹	翹	¹ ge ₄	special
2.4 (1111.01) : □	毓	² u ₃	to seek
2.5 (1227.01) : 滂	滂	¹ swew ₁	bright
2.6 (1323.01) : 飛	飛	¹ du ₄	to have
2.7 (1527.01) : □	覿	² le ₄	to see
	禿談	² bu ₃ ¹ gi ₄	partially clear
3. 禿談益瓊翹散磻			Part 3: half clear and turbid, eight sections
3.1 (1712.01) : 誦	誦	² kur ₄	will
3.2 (1811.01) : 媿	媿	² kwe ₂	true
3.3 (1817.01) : 鞞	鞞	¹ pho ₄	dexterous
3.4 (1911.01) : 瀾	瀾	² rar ₁	to flow
3.5 (2023.01) : 緜	緜	² dzo ₄	poetry
3.6 (2217.01) : 翫	翫	² ngaq ₁	good
3.7 (2312.01) : 隄	隄	¹ la ₄	to fall
3.8 (2327.01) : 岫	岫	¹ nwy ₁	to know
	禿談益	¹ khwy ₁ ¹ gi ₄ ¹ nen ₂	half clear and turbid
4. 禿益瓊翹網磻			Part 4: completely turbid, eight sections
4.1 (2424.01) : 鞞	鞞	¹ khon ₄	strong
4.2 (2612.01) : 滂	滂	¹ biq ₁	to pass through
4.3 (2624.01) : 鞞	鞞	¹ ver ₁	luxuriant
4.4 (2712.01) : 纓	纓	¹ ur ₄	to breed
4.5 (2824.01) : 鞞	鞞	¹ gwyr ₄	to rise
4.6 (2912.01) : 鞞	鞞	j [?]	oblique
4.7 (3111.01) : 禿	禿	¹ lwo ₁	dim
4.8 (?) :	禿	¹ mur ₁	dark
	禿益	² zi ₄ ¹ nen ₂	completely turbid
	禿鞞	¹ cha ₃ ¹ jwa ₃	end of ceremony

𦉳𦉳 ¹shi₃ ¹khwaq₁ ‘doubt’ + ‘far, distant’ = * ‘fore-thought’
 𦉳𦉳 ¹zhaq₃ ‘between’
 𦉳𦉳 ¹pha₄ ‘to forbid’
 𦉳𦉳 ¹chy₃ ²le₃ ‘to destroy’
 𦉳𦉳 ¹jon₃ ²ka₁ ‘to separate’
 𦉳𦉳 ²vy₃ prefix
 𦉳𦉳 ²phe₁ ‘to divide’
 𦉳𦉳 ¹la₃ ¹ho₁ ‘extraordinary’
 𦉳𦉳 ¹ge₄ ¹dzeq₄ ‘to surpass’
 𦉳𦉳 ¹non₂ ¹tsy₄ ‘and then’
 𦉳𦉳 ¹my₄ ¹puq₄ ‘without measure’
 𦉳𦉳 ²ir₄ ¹tuq₁ ‘hundreds and thousands’
 𦉳𦉳 ¹ku₄ ²chi₃ transliteration of Sanskrit *koṭi* ‘ten million’
 𦉳𦉳 ²ner₄ ²nenq₄ ²a₄ ¹chha₂ ‘Yakṣa with a wrathful expression’ (Chinese *pínméi yàochā* 瞋眉藥叉)
 𦉳𦉳 ¹hew₁ ‘kind of grass’
 𦉳𦉳 ²kha₄ ‘to draw (water)’
 𦉳𦉳 ¹kiq₂ ²ja₃ ‘vajra’
 𦉳𦉳 ¹naq₄ ‘god’
 𦉳𦉳 ²kho₂ ²nwi₄ ‘skilful’
 𦉳𦉳 ¹dzyr₄ ²lwiq₁ ‘speed’ (literally ‘fast and slow’)
 𦉳𦉳 ¹kir₄ ¹ga₄ ‘brave, violent, sudden’ + ‘to jump’ = * ‘to jump out suddenly’
 𦉳𦉳 ¹thwiq₄ ¹myr₄ ‘young and strong’
 𦉳𦉳 ²lu₃ ¹tho₄ ‘beautiful’
 𦉳𦉳 ¹tse₁ ²khwe₁ ‘size’ (literally ‘small and large’)
 𦉳𦉳 ¹dza₁ ¹lwi₁ ‘mixed up’
 𦉳𦉳 ¹chon₃ Chinese transliteration (*zhong*)

𦉳𦉳 ¹ghwan₄ Chinese transliteration (*yuan*)
 𦉳𦉳 ²chhe₂ ¹hor₁ ‘stocked village’ + ‘market’ = * ‘village market’
 𦉳𦉳 ²kuq₁ ‘inner’
 𦉳𦉳 ²khew₁ ‘opening’
 𦉳𦉳 ²pho₂ ‘window’
 𦉳𦉳 ²kyr₄ ‘house’
 𦉳𦉳 ²giq₄ ‘wide’
 𦉳𦉳 ¹khu₄ ‘below’
 𦉳𦉳 ²o₁ ‘to hold, to enter’
 𦉳𦉳 ²dwu₁ ¹lwi₁ ‘secret’ + ‘to hide’ = * ‘to hide in secret’
 𦉳𦉳 ²khu₄ ‘to watch’
 𦉳𦉳 ¹chhwen₃ ‘to prohibit’
 𦉳𦉳 ¹chuq₃ ²wer₁ ‘to guard’
 𦉳𦉳 ²va₁ ‘how, what’
 𦉳𦉳 ¹dzu₁ ¹ngwi₁ ‘to respectively love’
 𦉳𦉳 ²gi₄ ‘hope’
 𦉳𦉳 ²sha₂ ‘at will; target’
 𦉳𦉳 ¹pyq₁ ‘to hit’
 𦉳𦉳 ¹ma₄ ²tsha₄ ‘fruits of retribution’
 𦉳𦉳 ²ngorn₁ ‘whole’
 𦉳𦉳 ¹duq₄ ‘to meet’
 𦉳𦉳 ¹sy₁ ¹lhy₁ ‘complete’
 𦉳𦉳 ¹chy₃ ¹my₁ ‘order’ + ‘sky, heaven’ = * ‘order of heaven’
 𦉳𦉳 ²bi₁ ²lhiq₄ ‘sun and moon’
 𦉳𦉳 ²gyq₄ ¹geq₄ ‘constellation’
 𦉳𦉳 ²vyq₃ ¹zyr₄ ²li₃ ¹laq₃ ‘east, south, west, north’

There are 25 attested two-character words and nine conjectural two-character words, as well as three four-character phrases. That leaves 28 isolated characters. Some or most of these isolated characters may originally have formed words or phrases in the source text, but the characters they were paired with have been removed as duplicates.

For example, the adjacent characters 𦉳𦉳 ‘at will; target’ and 𦉳𦉳 ‘to hit’ are not attested as a word, but the two characters do occur together in the phrase 𦉳𦉳𦉳𦉳𦉳𦉳 ²ir₄ ²sha₂ ²ir₄ ¹pyq₁ ‘a hundred shots and a hundred hits’. As the character 𦉳𦉳 meaning ‘hundred’ already occurs earlier on folio 8A in the expression ‘hundreds and thousands’, we can conjecture that the two characters meaning ‘hundred’ in the phrase ‘a hundred shots and a hundred hits’ were removed as duplicates, leaving just the two characters 𦉳𦉳 ‘target’ and 𦉳𦉳 ‘to hit’.

Likewise, the two adjacent characters 𦉳𦉳 ¹hew₁ ‘kind of grass’ and 𦉳𦉳 ²kha₄ ‘to draw (water)’ do not at first sight appear to have any connection with each other, but they are actually also used as transliteration characters for the Sanskrit word *mahoraga* (Chinese 摩睺羅伽) meaning a great serpent protector: 𦉳𦉳𦉳𦉳𦉳𦉳 ²mo₁ ¹hew₁ ¹lo₁ ²kha₄. The

character 𦉳𦉳 ²mo₁ already occurs as a small-character homophone on folio 7A, and the character 𦉳𦉳 ¹lo₁ already occurs on folio 7B in the word 𦉳𦉳𦉳𦉳 ¹lo₁ ¹han₁ ‘Arhat’, so we can assume that both of these characters were removed from the word 𦉳𦉳𦉳𦉳𦉳𦉳 as duplicates, leaving the two characters 𦉳𦉳 by themselves.

Small characters

There are approximately 1856 small characters (localized damage means that in a few places it is not certain how many small characters are present), divided into groups of between 1 and 24 small characters under 577 large characters. One of the small characters (𦉳𦉳) is placed to the right of the large character 𦉳𦉳 on the first column of folio 12A, rather than with the other four small characters under this character, and so was probably added in as an afterthought.

According to our current understanding of Tangut phonology, based on Tangut philological texts such as *Sea of Writing* and *Homophones*, the small characters in each group are all homophones or near homophones of each other and of the large character under which they are listed.

As the large characters under which homophone groups are listed are not ordered phonetically, there is no phonetic sequence to the groups of homophone characters, with the result that the homophone groups cover a seemingly random range of syllables. To give an idea of the range of phonetic variation in homophone groups, the three largest homophone groups are shown below.

The large character 𪛗^{1rer₄} (IX 1.74) on folio 6A has 24 small characters with five readings:

- ¹rer₄ (IX 1.74): 𪛗
²rer₄ (IX 2.68): 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗
²rir₄ (IX 2.272): 𪛗 𪛗
¹rur₄ (IX 1.76): 𪛗
²len₄ (IX 2.37): 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗

The large character 𪛗^{2vi₁} (II 2.7) on folio 9A has 16 small characters with three readings and one character damaged beyond recognition:

- ²vi₁ (II 2.7): 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗 𪛗
¹vi₁ (II 1.8): 𪛗 𪛗 𪛗 𪛗
²ve₁ (II 2.30): 𪛗 𪛗 𪛗 𪛗

The large character 𪛗^{1e₄} (VIII 1.36) on folio 7A has 16 small characters with eight readings:

- ¹e₄ (VIII 1.36): 𪛗 𪛗 𪛗 𪛗
²e₄ (VIII 2.33): 𪛗 𪛗
¹i₃ (VIII 1.10): 𪛗
¹i₄ (VIII 1.11): 𪛗 𪛗
²iq₄ (VIII 2.60): 𪛗
¹y₄ (VIII 1.30): 𪛗
²en₄ (VIII 2.37): 𪛗 𪛗
²er₄ (VIII 2.86): 𪛗

In the following sections, I attempt to make some general observations about the phonetic features of homophone groups.

Circles. Most homophone groups with more than one small character are divided into two subgroups, with a small circle placed between them. For example, the homophone group 𪛗^{1rer₄} shown above is divided into two subgroups of 11 and 14 characters:

Subgroup 1	
0	𪛗 ^{1rer₄}
1	𪛗 ^{2rir₄}
2	𪛗 ^{2rer₄}
3	𪛗 ^{2rer₄}
4	𪛗 ^{1rur₄}

Subgroup 2	
11	𪛗 ^{1rer₄}
12	𪛗 ^{2rer₄}
13	𪛗 ^{2len₄}
14	𪛗 ^{2rer₄}
15	𪛗 ^{2rer₄}

Subgroup 1	
5	𪛗 ^{2rir₄}
6	𪛗 ^{2rer₄}
7	𪛗 ^{2len₄}
8	𪛗 ^{2len₄}
9	𪛗 ^{2len₄}
10	𪛗 ^{2len₄}

Subgroup 2	
16	𪛗 ^{2rer₄}
17	𪛗 ^{2len₄}
18	𪛗 ^{2len₄}
19	𪛗 ^{2rer₄}
20	𪛗 ^{2rer₄}
21	𪛗 ^{2len₄}
22	𪛗 ^{2rer₄}
23	𪛗 ^{2len₄}
24	𪛗 ^{1rer₄}

In some cases, a small circle is placed after the large character in the group and before the small characters in the group, and there is no other small circle in the group. In these cases, the large character belongs to one subgroup and all the small characters belong to a different subgroup. For example, on folio 8B the homophone group under 𪛗^{1ma₄} has a small circle between the large character and the following small characters:

Subgroup 1	
0	𪛗 ^{1ma₄}

Subgroup 2	
1	𪛗 ^{1ma₄}
2	𪛗 ^{1ma₄}
3	𪛗 ^{1ma₄}
4	𪛗 ^{2ma₄}
5	𪛗 ^{1ma₄}
6	𪛗 ^{2ma₄}

Where a homophone group is not subdivided by a small circle, or in the few cases where a small circle only occurs after the last small character in the group, we can assume that all characters belong to the same subgroup.

The obvious explanation for dividing homophone groups into two subgroups is that each subgroup contains characters with same tone (Tone 1, level; Tone 2, rising). However, in the majority of homophone groups, both subgroups have a mixture of Tone 1 and Tone 2 characters, so the distinction cannot be tonal unless this text represents a dialect of Tangut with a very different distribution of tones compared with *Sea of Writing*, which seems highly unlikely. There are no other obvious phonetic differences between characters in each subgroup, and both subgroups commonly have characters with the same readings. Therefore, at present I have no explanation for the division of homophone groups into two with a small circle.

A small circle is also frequently placed to the right of large characters, and less commonly to the right of small characters. The meaning of these small circles is also unclear at present.

Tones. Tones are not distinguished within homophone groups. That is to say, in most cases the same group of homophone characters includes a mixture of both Tone 1 (level tone) and Tone 2 (rising tone) characters.

Where a homophone group consists of characters that all have the same tone, there is not normally a corresponding homophone group for characters with the same reading but a different tone. For example, the homophone group of seven characters headed by 蔣 ²mer₄ on folio 14A all have the same reading and tone, but there is no homophone group for ¹mer₄ (the only character with this reading and tone is 甌, which does not occur). Likewise, the homophone group of seven characters headed by 覲 ¹ma₄ on folio 7B all have the same reading and tone, but there is no homophone group for ²ma₄ (the only character with this reading and tone is 辭, which does not occur).

Initials. Homophone groups generally share the same reconstructed initial, and in most cases where initials do vary within a group they still belong to the same initial class:

- homophone group 靜 on folio 8A has characters with d- and t- initials: 靜 ¹du₁, 聒 ²du₁ and 靜 ¹tu₁ (all Class III);
- homophone group 莖 on folio 8B has characters with g- and k- initials: 莖 ²gi₄, 莖 ¹gi₄ and 莖 ¹ki₄ (all Class V);
- homophone group 緜 on folio 8B has characters with ts- and dz- initials: 緜 ¹tsen₁, 緜 ²se₁ and 緜 ¹dzen₁ (all Class VI); and
- homophone group 籠 on folio 8A has characters with h-, gh-, w- and ø- initials: 籠 ²hoq₁, 籠 ¹ghon₄, 籠 ¹woq₁ and 籠 ²on₄ (all Class VIII).

However, there are a few homophone groups that have characters with two or more different initial classes. Some examples are given in the following.

Most Class VIII characters form homophone groups together, but in a few cases characters with Class VIII initials share a homophone group with Class II, Class V or Class IX characters:

- homophone group 蔣 on folio 9B has characters with ø- (Class VIII) and v- (Class II) initials: 蔣 ²o₁, 蔣 ¹o₁, 蔣 ²u₁, 蔣 ¹von₁ and 蔣 ¹voq₂;
- homophone group 蔣 on folio 14A has characters with w- (Class VIII) and v- (Class II) initials: 蔣 ¹vi₃, 蔣 ²vi₃, 蔣 ¹viq₃, 蔣 ²viq₃, 蔣 ¹wyr₄, 蔣 ¹wy₄ and 蔣 ¹win₄;
- homophone group 蔣 on folio 6A has characters with gh- (Class VIII) and k- (Class V) initials: 蔣 ²ghaq₁, 蔣 ¹kaq₁ and 蔣 ²kaq₁; and
- homophone group 蔣 on folio 7A has characters with ø- (Class VIII) and r- (Class IX) initials: 蔣 ¹ru₄, 蔣 ²ru₄ and 蔣 ²ur₄.

Class IV initials, which modern linguists have struggled to understand, form homophone groups either by themselves or with Class III or Class VII characters:

- homophone group 蔣 on folio 6A has characters with n- (Class IV) and j- (Class VII) initials: 蔣 ¹nen₂, 蔣 ²nen₂ and 蔣 ²je₂;
- homophone group 蔣 on folio 8A has characters with n- (Class IV) and j- (Class VII) initials: 蔣 ¹jon₃, 蔣 ¹jwo₃, 蔣 ²jwo₃ and 蔣 ¹non₂; and
- homophone group 蔣 on folio 8A has characters with n- (Class IV) and n- (Class III) initials: 蔣 ¹non₂, 蔣 ¹nwu₁, 蔣 ¹nu₁ (Class III) and 蔣 ²non₂ (Class IV).

There is one homophone group that mixes Class III and Class VI initials:

- homophone group 蔣 on folio 12B has characters with t- (Class III) and ts- (Class VI) initials: 蔣 ¹tu₄, 蔣 ²tu₄ and 蔣 ¹tsu₄.

In addition to the above examples, there are a few examples where individual characters have a totally unexpected reading for their homophone group. Some of these may be due to errors made by the editor of the text. For example, 蔣 ²ni₄ under homophone group 蔣 ²li₃ on folio 13A is probably a mistake for 蔣 ²liq₃; and 蔣 ¹na₁ under homophone group 蔣 ¹my₁ on folio 8B is probably a mistake for 蔣 ¹myq₁.

Rimes. Homophone groups do not always correspond to a single rime group in *Sea of Writing* or belong to the same homophone group in *Homophones*, but frequently include characters from several different but related rimes in *Sea of Writing*.

In most homophone groups all characters have the same grade (1–4), and in those cases where characters in the same homophone group have different grades this is almost always not the only phonetic distinction:

- 蔣 ²u₃ = 蔣 ¹ghu₄ (folio 6A);
- 蔣 ²uq₁ = 蔣 ¹on₂ (folio 7B);
- 蔣 ¹gi₂ = 蔣 ¹ky₄ (folio 8A);
- 蔣 ¹gu₁ = 蔣 ¹gwy₄ (folio 14A); and
- 蔣 ¹vi₃ = 蔣 ¹wyr₄ (folio 14A).

Many homophone groups include one or two characters with a different reconstructed vowel from the other characters in the group, suggesting vowel mergers. Most of the examples show common phonetic variation, but the a ~ i variation in several cases is unusual.

e ~ i

- 𪛗¹me₁ = 𪛗²mi₁ (folio 8A)
- 𪛗²be₁ = 𪛗²bi₁ (folio 8B)
- 𪛗¹ver₁ = 𪛗¹vir₁ (folio 6B)

e ~ i ~ y [ə]

- 𪛗¹e₄ = 𪛗¹i₄ = 𪛗¹y₄ (folio 7A)
- 𪛗¹she₃ = 𪛗¹shi₃ = 𪛗²shy₃ (folio 7A)

i ~ y [ə]

- 𪛗²dziq₄ = 𪛗²dzyq₄ (folio 8A)
- 𪛗²tsi₄ = 𪛗¹tsy₄ (folio 8A)
- 𪛗²ir₄ = 𪛗²yr₄ (folio 8A)
- 𪛗¹syq₄ = 𪛗¹siq₄ (folio 13B)

e ~ y [ə]

- 𪛗²gew₄ = 𪛗²gyq₄ (folio 8B)
- 𪛗¹be₁ = 𪛗²by₁ (folio 13B)

u ~ i

- 𪛗²lwu₄ = 𪛗¹lwi₄ (folio 7B)
- 𪛗²gu₄ = 𪛗¹gi₄ (folio 7B)

o ~ u

- 𪛗²o₁ = 𪛗²u₁ (folio 8B)
- 𪛗²ror₁ = 𪛗²rur₁ (folio 12A)
- 𪛗¹po₁ = 𪛗¹pu₁ (folio 14A)
- 𪛗¹hon₁ = 𪛗¹hun₁ (folio 14B)

o ~ y [ə]

- 𪛗²nwo₄ = 𪛗¹nwy₄ (folio 9A)

u ~ y [ə]

- 𪛗²ngwu₁ = 𪛗¹ngwy₁ (folio 7B)
- 𪛗¹phu₁ = 𪛗¹phy₁ (folio 11B)

a ~ o

- 𪛗_{a1} = 𪛗_{o1} (folio 7B)

a ~ i

- 𪛗²a₄ = 𪛗¹an₄ = 𪛗¹in₄ (folio 8A)
- 𪛗¹man₁ = 𪛗¹min₁ (folio 7B)
- 𪛗¹ga₄ = 𪛗¹gin₄ (folio 8B)
- 𪛗¹phar₄ = 𪛗¹phi₄ (folio 8A)

Some homophone groups suggest loss in secondary phonetic distinctions, such as tenseness (-q), nasalization (-n), retroflexion (-r) and final glides (-w), as shown in the following examples:

- 𪛗¹gi₄ = 𪛗²gi₄ (folio 8B)
- 𪛗¹ho₁ = 𪛗²hoq₁ (folio 8A)
- 𪛗²a₄ = 𪛗¹an₄ (folio 8A)
- 𪛗²kha₄ = 𪛗¹khan₄ (folio 8A)
- 𪛗²kho₂ = 𪛗¹khon₂ (folio 8B)
- 𪛗¹phi₄ = 𪛗¹phin₄ (folio 8A)

- 𪛗¹jwo₃ = 𪛗¹jon₃ (folio 8A)
- 𪛗²hwo₁ = 𪛗¹hon₁ (folio 14B)
- 𪛗²ka₁ = 𪛗²kar₁ (folio 8A)
- 𪛗¹dza₁ = 𪛗¹dzar₁ (folio 8B)
- 𪛗¹dzeq₄ = 𪛗²dzen₄ (folio 8A)
- 𪛗²gy₄ = 𪛗²gyq₄ = 𪛗²gew₄ (folio 8B)
- 𪛗²dew₁ = 𪛗²di₁ (folio 14B)
- 𪛗²ne₁ = 𪛗¹ner₁ = 𪛗²neq₂ (folio 8A)

In summary, it can be seen that homophone groups in this text do not closely match phonetic reconstructions based on *Sea of Writing*, *Homophones* and other existing Tangut phonetic texts, and the characters in each homophone group have a much wider and erratic range of phonetic values than we would expect given our current understanding of Tangut phonology. As there is little or no point in grouping together characters that have significantly divergent phonetic properties (such as different initials or different vowels), it seems probable that the characters in each homophone group in this text were considered to be homophones (excluding tonal differences) by the author of the text.

There are two possible explanations for why the homophone groups in this text do not accord with other Tangut phonological texts: either this text represents a different dialect of Tangut from that used by the authors of classic phonetic texts such as *Sea of Writing* and *Homophones*, or this text represents a later stage in the evolution of the Tangut language. I prefer the latter explanation, and suggest that the homophone groups in this text reflect changes that occurred in the Tangut language some time later than the compilation of the *Sea of Writing* and *Homophones*. As such, this text reflects a simplification of the earlier phonetic system, with a reduction in the number of initials, some vowel mergers and some loss of secondary phonetic distinctions such as tenseness, nasalization, retroflexion and final glides. A more comprehensive understanding of the phonetic system underlying the *Homonyms* awaits a detailed study of the complete text.

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Notes

1. Tangut readings given in this paper are the complex phonetic transcriptions in Marc Miyake's Tangut phonetic database version 1.3.1 (<http://www.amritas.com/Tangut/tangutdb-1-3-1.xls>). This is a system of phonetic transcription where 'letters and numbers symbolize phonetic distinctions but do not necessarily precisely represent them' (Miyake, 2015). Final -q, -r and -n are not consonants, but respectively indicate tension,

- retroflexion and nasalization of the preceding vowel. The final apostrophe indicates an unknown phonetic quality. The ‘y’ represents a schwa [ə]. Initial numbers 1 and 2 represent the tone (level and rising respectively), and final numbers 1 through 4 represent the four grades.
2. See <http://pmgs.kongfz.com/special/534/> and <http://www.dbpm.cn/auction/detail.asp?cid=143>.
 3. IOM Tang. 24 (Inv. No. 2539). See Lǐ and Hán (2005) for a comprehensive study of the *Synonyms*.
 4. See IOM Tang. 22/1 (old Inv. No. 620, new Inv. No. 86) folio 4a–4b. The table of 36 initials is transcribed in Lǐ (2006: 33–35).
 5. Tang. 334/248. See Endangered Archives Programme, EAP140/1/60 (<https://eap.bl.uk/archive-file/EAP140-1-60>) image 201. The cursive character for 𐰪 ‘two’ is part of the original Tangut pagination number ‘62’ written on the right of the first column of text on the recto side of sheet 51.

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